



Office of Enterprise Compliance
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April 14, 2006

AGENDA ITEM 5

TO: MEMBERS OF THE FINANCE COMMITTEE

- I. SUBJECT:** Office of Enterprise Compliance Report
- II. PROGRAM:** Compliance
- III. RECOMMENDATION:** Information Only
- IV. ANALYSIS:**

The attached Quarterly Status Report provides an update of the Annual Plan from the Office of Enterprise Compliance.

V. STRATEGIC PLAN:

This item is not a specific product of the Strategic Plan but is part of the regular and ongoing workload planned for the new Office of Enterprise Compliance.

VI. RESULTS/COSTS:

N/A

VII. ATTACHMENTS

The following attachment is included in this agenda item:
Attachment A Office of Enterprise Compliance Quarterly Status Report

Sherry A. Johnstone
Chief Compliance Officer

Peter H. Mixon
General Counsel